

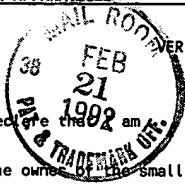
Applicants or Patentees: Jack R. Bratten

Serial or Patent No.: 07/813,161

Docket # FSC-147

Filed or Issued: December 24, 1991

For: FILTER APPARATUS



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9 (f) and 1.27 (c) - SMALL BUSINESS CONCERN)

I hereby declare that:

☐ the owner is a small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Filtra Systems Company

ADDRESS OF CONCERN 30000 Beck Road, Wixom, Michigan 48096

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 100 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

FILTER APPARATUS

Described in

☐ the specification filed herewith

☒ application serial no. 07/813,161, filed December 24, 1991.

☐ patent no. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME NONE

ADDRESS _____

☐ individual

☐ small business concern

☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

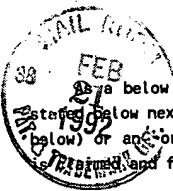
NAME OF PERSON SIGNING Jack R. Bratten

TITLE OF PERSON OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 5970 St. James Drive, West Bloomfield, MI 48322

Signature Jack R. Bratten

Date: 2-19-92



COMBINED DECLARATION, POWER OF ATTORNEY AND
VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

I, the below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name; and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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the specification of which

☐ is attached hereto

☒ was filed on December 24, 1991, as Application Serial No. 07/813,161,

☐ and was amended on or through _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

NONE ☐ yes ☒ no

(number) (Country) (day/month/year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of the application.

(Application Serial No.) (Filing date) (Status) (Patented, pending, abandoned)

And I hereby appoint John R. Benefiel, Patent Office Registration No. 24,889, as my attorney, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith. Send all correspondence to: John R. Benefiel, 360 Birmingham Place, 401 South Woodward Avenue, Birmingham, Michigan 48009-6612, Phone: (313) 644-1455

I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(e). Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below.

☐ no such person, concern or organization

☒ persons, concerns or organizations listed below

Full Name Filtra Systems Company

Address 30000 Beck Road, Wixom, Michigan 48096

☐ individual ☒ small business concern ☐ nonprofit organization

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Jack R. Bratten

Inventor's signature Jack R. Bratten

Date 2-19-92 Citizenship U.S.A.

Residence West Bloomfield, Michigan 48322

Address 5970 St. James Drive, West Bloomfield, Michigan 48322